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On the semi-local convergence of the Homeier method in Banach space for solving equations

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In this paper we consider the semi-local convergence analysis of the Homeier method for solving nonlinear equation in Banach space. As far as we know no semi-local convergence has been given for the Homeier under Lipschitz conditions. Our goal is to extend the applicability of the Homeier method in the semi-local convergence under these conditions. We use majorizing sequences and conditions only on the first derivative which appear on the method for proving our results. Numerical experiments are provided in this study.

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Keywords: semi-local convergence; Homeier method; iterative methods; Banach space; convergence criterion.

1. Introduction

We consider the nonlinear equation

$$\mathcal{G}(x) = 0, \quad (1.1)$$

where $\mathcal{G} : \Omega \subset B \rightarrow B_1$ is an operator acting between Banach spaces B and B_1 with $\Omega \neq \emptyset$. In general a closed form solution for (1.1) is not possible, so iterative methods are used for approximating a solution x_* of (1.1). Many iterative methods are studied for approximating x_* [1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27].

In this study, we consider the Homeier method, defined for $n = 0, 1, 2, \dots$, by

$$\begin{aligned} y_n &= x_n - \frac{1}{2} \mathcal{G}'(x_n)^{-1} \mathcal{G}(x_n), \\ x_{n+1} &= x_n - \mathcal{G}'(y_n)^{-1} \mathcal{G}(x_n). \end{aligned} \quad (1.2)$$

The local convergence of the Homeier method was shown to be of order three using Taylor expansion and assumptions on the fourth order derivative of \mathcal{G} , which is not on these methods [13]. So, the assumptions on the fourth derivative reduce the applicability of these methods [1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27].

For example: Let $B = B_1 = \mathbb{R}$, $\Omega = [-0.5, 1.5]$. Define λ on Ω by

$$\lambda(t) = \begin{cases} t^3 \log t^2 + t^5 - t^4 & \text{if } t \neq 0, \\ 0 & \text{if } t = 0. \end{cases}$$

Then, we get $t^* = 1$, and

$$\lambda'''(t) = 6 \log t^2 + 60t^2 - 24t + 22.$$

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Obviously $\lambda'''(t)$ is not bounded on Ω . So, the convergence of method (1.2) is not guaranteed by the previous analyses in [1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27].

In this study we introduce a majorant sequence and use general continuity conditions to extend the applicability of Homeier method. Our analysis includes error bounds and results on uniqueness of x_* based on computable Lipschitz constants not given before in [1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27] and in other similar studies using Taylor series. Our idea is very general. So, it applies on other methods too.

The rest of the study is set up as follows: In Section 2 we present results on majorizing sequences. Sections 3,4 contain the semi-local and local convergence, respectively, where in Section 4 the numerical experiments are presented. Concluding remarks are given in the last Section 5.

2. Majorizing Sequences

Let $\ell_0 > 0, \ell > 0, \eta > 0$ be given parameters with $\ell_0 \leq \ell$. Consider sequences $\{t_n\}, \{s_n\}$ as

$$\begin{aligned} t_0 &= 0, s_0 = \eta, \\ t_{n+1} &= s_n + \frac{2\bar{\ell}(1 + \ell_0 t_n)}{(1 - \ell_0 t_n)(1 - \ell_0 s_n)}(s_n - t_n)^2 + \frac{(s_n - t_n)(1 + \ell_0 t_n)}{1 - \ell_0 s_n}, \\ s_{n+1} &= t_{n+1} + \frac{\ell(2(s_n - t_n) + (t_{n+1} - t_n))}{4(1 - \ell_0 t_{n+1})}(t_{n+1} - t_n), \end{aligned} \tag{2.1}$$

where $\bar{\ell} = \begin{cases} \ell_0, & \text{if } n = 0 \\ \ell, & \text{if } n = 1, 2, \dots \end{cases}$.

Next, we present convergence results for sequences $\{t_n\}$.

LEMMA 2.1 Suppose: Sequence $\{t_n\}$ satisfies

$$t_n \leq s_n < \frac{1}{\ell_0}. \tag{2.2}$$

Then, sequences $\{t_n\}$ converge to its unique least upper bound $t^* \in [0, \frac{1}{\ell_0}]$.

Proof. Sequences $\{t_n\}$ is nondecreasing by (2.2), bounded from above by $\frac{1}{\ell_0}$ and as such it converges to t^* . □

3. Semi-local convergence

The hypotheses (H) shall be used. Suppose:

(H1) There exists $x_0 \in \Omega$ and $\eta \geq 0$ such that $\mathcal{G}'(x_0)^{-1}$ exists and

$$\|\mathcal{G}'(y_0)^{-1}\mathcal{G}(x_0)\| \leq \eta.$$

(H2)

$$\|\mathcal{G}'(x_0)^{-1}(\mathcal{G}'(v) - \mathcal{G}'(x_0))\| \leq \ell_0 \|v - x_0\|$$

for all $v \in \Omega$. Set $\Omega_0 = \Omega \cap U(x_0, \frac{1}{\ell_0})$.

(H3)

$$\|\mathcal{G}'(x_0)^{-1}(\mathcal{G}'(w) - \mathcal{G}'(v))\| \leq \ell \|w - v\|$$

for all $v, w \in \Omega_0$.

(H4) Hypotheses of Lemma 2.1 hold.

and

(H5) $U[x_0, t^*] \subset \Omega$.

Next, the semi-local convergence follows.

THEOREM 3.1 Suppose the hypotheses (H) hold. Then, the following items hold

$$\{x_n\} \in U(x_0, t^*) \tag{3.1}$$

$$\|x_* - x_n\| \leq t^* - t_n, \tag{3.2}$$

for some $x^* \in U[x_0, t^*]$ and $F(x^*) = 0$.

Proof. Mathematical induction is used to show

$$\|y_k - x_k\| \leq s_k - t_k \quad (3.3)$$

and

$$\|x_{k+1} - y_k\| \leq t_{k+1} - s_k. \quad (3.4)$$

By method (1.2) and (H4) one gets

$$\|y_0 - x_0\| = \|\mathcal{G}'(x_0)^{-1}\mathcal{G}(x_0)\| \leq \eta = s_0 - t_0 = s_0 = \eta \leq \frac{\eta}{1-\beta},$$

so (3.3) holds for $n = 0$ and $y_0 \in U(x_0, t^*)$.

Let $z \in U(x_0, t^*)$. Then, using (H2) one obtains

$$\begin{aligned} \|\mathcal{G}'(x_0)^{-1}(\mathcal{G}'(z) - \mathcal{G}'(x_0))\| &\leq \ell_0 \|z - x_0\| \\ &\leq \ell_0 t^* < 1, \end{aligned} \quad (3.5)$$

so

$$\|\mathcal{G}'(z)^{-1}\mathcal{G}'(x_0)\| \leq \frac{1}{1 - \ell_0 \|z - x_0\|} \quad (3.6)$$

follows from the Lemma on invertible linear operators due to Banach [17]. Hence, $\mathcal{G}'^{-1}(y_0) \in L(B_1, B)$ and x_1 is well defined.

$$\begin{aligned} x_{k+1} &= y_k + \frac{1}{2}(\mathcal{G}'^{-1}(x_k) - \mathcal{G}'^{-1}(y_k))\mathcal{G}(x_k) + \frac{1}{2}\mathcal{G}'^{-1}(y_k)\mathcal{G}(x_k), \\ x_{k+1} - y_k &= \frac{1}{2}\mathcal{G}'^{-1}(x_k)(\mathcal{G}'(y_k) - \mathcal{G}'(x_k))\mathcal{G}'^{-1}(y_k)(-2\mathcal{G}(x_k))(y_k - x_k) \\ &\quad - \frac{1}{2}\mathcal{G}'^{-1}(x_k)(-2\mathcal{G}(x_k))(y_k - x_k). \end{aligned} \quad (3.7)$$

So, by (3.6) (for $z = x_0, y_0$), (H2) and the definition of the sequence $\{t_k\}$ one gets

$$\begin{aligned} \|x_{k+1} - y_k\| &\leq \frac{\ell \|y_k - x_k\|^2 (1 + \ell_0 \|x_k - x_0\|)}{(1 - \ell_0 \|x_0 - x_0\|)(1 - \ell_0 \|y_k - x_0\|)} + \frac{(1 + \ell_0 \|x_k - y_k\|)\|y_k - x_k\|}{1 - \ell_0 \|y_k - x_0\|} \\ &\leq \frac{\bar{\ell}(s_k - t_k)^2 (1 + \ell_0 t_k)}{(1 - \ell_0 t_k)(1 - \ell_0 s_k)} + \frac{(1 + \ell_0 t_k)(s_k - t_k)}{1 - \ell_0 s_k} = t_{k+1} - s_k. \end{aligned} \quad (3.8)$$

By the second substep of method (1.2)

$$\begin{aligned} \mathcal{G}(x_{k+1}) &\leq \mathcal{G}(x_{k+1}) - \mathcal{G}(y_k) - \mathcal{G}'(y_k)(x_{k+1} - x_k) \\ &= \int_0^1 (\mathcal{G}'(x_k + \theta(x_{k+1} - x_k))d\theta - \mathcal{G}'(y_k))(x_{k+1} - x_k), \end{aligned} \quad (3.9)$$

so

$$\begin{aligned} \|y_{k+1} - x_{k+1}\| &\leq \frac{1}{2} \|(\mathcal{G}'(x_{k+1})^{-1}\mathcal{G}'(x_0)\mathcal{G}'(x_0)^{-1}\mathcal{G}(x_{k+1}))\| \\ &\leq \frac{1}{2} \frac{(\ell \|y_k - x_k\| + \frac{\ell}{2} \|x_{k+1} - x_k\|)\|x_{k+1} - x_k\|}{1 - \ell_0 \|x_{k+1} - x_0\|} \\ &\leq \frac{1}{2} \frac{(\ell(s_k - t_k) + \frac{\ell}{2}(t_{k+1} - t_k))(t_{k+1} - t_k)}{1 - \ell_0 t_{k+1}} \\ &= s_{k+1} - t_{k+1}, \end{aligned} \quad (3.10)$$

where we also used (3.6) for $z = x_{k+1}$. Hence, sequence $\{x_n\}$ is complete in Banach space B , so $\lim_{n \rightarrow \infty} x_n = x_* \in U[x_0, t^*]$. Using (3.9) we deduce $\lim_{k \rightarrow \infty} \|\mathcal{G}'(x_0)^{-1}\mathcal{G}(x_{k+1})\| \leq \frac{1}{2} \lim_{k \rightarrow \infty} (\ell(s_k - t_k) + \frac{\ell}{2}(t_{k+1} - t_k)(t_{k+1} - t_k)) = 0$. Hence, by the continuity of \mathcal{G} it follows that $\mathcal{G}(x_*) = 0$. \square

A uniqueness of the solution result is presented.

PROPOSITION 3.2 Assume:

(1) x_* is a simple solution of (1.1).

(2) There exists $\tau \geq t^*$ so that

$$\ell_0(t^* + \tau) < 2. \quad (3.11)$$

Set $\Omega_1 = \Omega \cap U[x_*, \tau]$. Then, x_* is the unique solution of equation (1.1) in the domain Ω_1 .

Proof. Let $q \in \Omega_1$ with $\mathcal{G}(q) = 0$. Define $M = \int_0^1 \mathcal{G}'(q + \theta(x_* - q))d\theta$. Using (H2) and (3.11) one obtains

$$\|\mathcal{G}'(x_0)^{-1}(M - \mathcal{G}'(x_0))\| \leq \ell_0 \int_0^1 ((1 - \theta)\|q - x_0\| + \theta\|x_* - x_0\|)d\theta \leq \frac{\ell_0}{2}(t^* + \tau) < 1,$$

so $q = x_*$, follows from the invertability of M and the identity $M(q - x_*) = \mathcal{G}(q) - \mathcal{G}(x_*) = 0 - 0 = 0$. □

Another convergence result can be given if we rewrite method (1.2) as

$$x_{n+1} = x_n - \mathcal{G}'(x_n - \frac{1}{2}\mathcal{G}'(x_n)^{-1}\mathcal{G}(x_n))^{-1}\mathcal{G}(x_n). \tag{3.12}$$

Define parameter

$$r_0 = \min\left\{\frac{2 - \ell(\eta + \eta_0) - \ell_0\eta}{2\ell_0}, \frac{2\eta_0 - \ell(\eta + \eta_0)\eta}{2\ell_0\eta_0}\right\}$$

to be determined later and function δ

$$\delta = \delta(t) = \frac{\ell(\eta + \eta_0)}{2(1 - \ell_0(t + \frac{\eta}{2}))}.$$

Then, we present a second semi-local convergence result for method (1.2).

THEOREM 3.3 *Suppose:*

(a) *There exist $x_0 \in \Omega$, $\eta_0 > 0$ such that $\mathcal{G}'(x_n - \frac{1}{2}\mathcal{G}'(x_n)^{-1}\mathcal{G}(x_n))^{-1} \in L(B_1, B)$ and*

$$\|\mathcal{G}'(x_n - \frac{1}{2}\mathcal{G}'(x_n)^{-1}\mathcal{G}(x_n))^{-1}\mathcal{G}(x_0)\| \leq \eta_0.$$

(b) $\ell_0\eta + \ell(\eta + \eta_0) < 2$, $\ell(\eta + \eta_0)\eta < 2\eta_0$.

(c) *Condition (H1), (H2) and (H3) hold and*

(d) $U[x_0, r_0] \subset \Omega$.

Then, sequence $\{x_n\}$ generated by (3.12) is well defined in $U(x_0, r_0)$, remains in $U(x_0, r_0)$ and converges to a solution $x^ \in U[x_0, r_0]$ of equation $\mathcal{G}(x) = 0$, so that*

$$\begin{aligned} \|x_{n+1} - x_n\| &\leq \frac{\ell(\|x_n - x_{n-1}\| + \|\mathcal{G}'(x_{n-1})^{-1}\mathcal{G}(x_{n-1})\|)\|x_n - x_{n-1}\|}{2(1 - \ell_0(\|x_n - x_0\| + \frac{1}{2}\|\mathcal{G}'(x_{n-1})^{-1}\mathcal{G}(x_n)\|))} \\ &\leq \delta\|x_n - x_{n-1}\| \leq \delta^n\|x_1 - x_0\| \leq \delta^n\eta, \end{aligned} \tag{3.13}$$

and

$$\|x^* - x_n\| \leq \frac{\delta^n\eta}{1 - \delta}. \tag{3.14}$$

Proof. As in the proof of Theorem 3.1 we can write

$$\begin{aligned} \mathcal{G}(x_{k+1}) &\leq \mathcal{G}(x_{k+1}) - \mathcal{G}(x_k) + \mathcal{G}(x_k) \\ &= \int_0^1 (\mathcal{G}'(x_k + \theta(x_{k+1} - x_k))d\theta - \mathcal{G}'(x_k - \frac{1}{2}\mathcal{G}'(x_k)^{-1}\mathcal{G}(x_k)))(x_{k+1} - x_k), \end{aligned}$$

so

$$\|\mathcal{G}'(x_0)^{-1}\mathcal{G}(x_{k+1})\| \leq \frac{\ell}{2}(\|x_{k+1} - x_k\| + \|\mathcal{G}'(x_k)^{-1}\mathcal{G}(x_k)\|)\|x_{k+1} - x_k\|. \tag{3.15}$$

We also have for $x \in U(x_0, r)$, $r \in (0, r_0)$

$$\begin{aligned} \|\mathcal{G}'(x_0)^{-1}(\mathcal{G}'(x - \frac{1}{2}\mathcal{G}'(x)^{-1}\mathcal{G}(x)) - \mathcal{G}'(x_0))\| &\leq \ell_0(\|x - x_0\| + \frac{1}{2}\|\mathcal{G}'(x)^{-1}\mathcal{G}(x)\|) \\ &\leq \ell_0(r + \frac{\eta}{2}) \\ &< \ell(r_0 + \frac{\eta}{2}) < 1, \end{aligned}$$

by the choice of r_0 and r , so

$$\|\mathcal{G}'(x)^{-1}\mathcal{G}'(x_0)\| \leq \frac{1}{1 - \ell_0(\|x_k - x_0\| + \frac{\eta}{2})}. \tag{3.16}$$

Hence, by method (3.12), (3.15) and (3.16) we obtain (3.13), where we also used

$$\begin{aligned}\|\mathcal{G}'(x_k)^{-1}\mathcal{G}(x_k)\| &\leq \frac{\ell(\delta\|x_k - x_{k-1}\| + \eta_0)\|x_k - x_{k-1}\|}{2(1 - \ell_0\|x_k - x_0\|)} \\ &\leq \frac{\ell(\eta + \eta_0)\eta}{2(1 - \ell_0 r)} \leq \eta_0,\end{aligned}\quad (3.17)$$

by (3.16) and the choice of r_0 . Hence, estimate (3.13) holds, and sequence $\{x_k\}$ is fundamental. So there exists $x^* \in U[x_0, r_0]$ such that $\lim_{k \rightarrow \infty} x_k = x^*$. By letting $k \rightarrow \infty$ in (3.15), we deduce $\mathcal{G}(x^*) = 0$. Moreover, let $m \geq 0$, then by (3.13)

$$\begin{aligned}\|x_{k+m} - x_k\| &\leq \|x_{k+m} - x_{k+m-1}\| + \|x_{k+m-1} - x_{k+m-2}\| + \dots + \|x_{k+1} - x_k\| \\ &\leq (\delta^{k+m-1} + \dots + \delta^k)\eta \\ &= \delta^k \frac{1 - \delta^m}{1 - \delta} \eta.\end{aligned}\quad (3.18)$$

By letting $m \rightarrow \infty$ in (3.18) we obtain (3.14).

The uniqueness result for the solution x^* is identical to Proposition 3.2.

□

4. Numerical Experiments

We provide some examples in this section.

EXAMPLE 4.1 Define function

$$h(t) = \xi_0 t + \xi_1 + \xi_2 \sin \xi_3 t, \quad x_0 = 0,$$

where $\xi_j, j = 0, 1, 2, 3$ are parameters. Choose $\psi_0(t) = L_0 t$ and $\psi(t) = Lt$. Then, clearly for ξ_3 large and ξ_2 small, $\frac{L_0}{L}$ can be small (arbitrarily). Notice that $\frac{L_0}{L} \rightarrow 0$.

EXAMPLE 4.2 Let $B = B_1 = C[0, 1]$ and $\Omega = U[0, 1]$. It is well known that the boundary value problem [11].

$$\varsigma(0) = 0, \quad \varsigma(1) = 1,$$

$$\varsigma'' = -\varsigma - \sigma \varsigma^2$$

can be given as a Hammerstein-like nonlinear integral equation

$$\varsigma(s) = s + \int_0^1 Q(s, t)(\varsigma^3(t) + \sigma \varsigma^2(t)) dt$$

where σ is a parameter. Then, define $\mathcal{G} : \Omega \rightarrow B_1$ by

$$[\mathcal{G}(x)](s) = x(s) - s - \int_0^1 Q(s, t)(x^3(t) + \sigma x^2(t)) dt.$$

Choose $\varsigma_0(s) = s$ and $\Omega = U(\varsigma_0, \rho_0)$. Then, clearly $U(\varsigma_0, \rho_0) \subset U(0, \rho_0 + 1)$, since $\|\varsigma_0\| = 1$. Suppose $2\sigma < 5$. Then, conditions (A) are satisfied for

$$L_0 = \frac{2\sigma + 3\rho_0 + 6}{8}, \quad L = \frac{\sigma + 6\rho_0 + 3}{4},$$

and $\eta = \frac{1+\sigma}{5-2\sigma}$. Notice that $L_0 < L$ [5].

5. Conclusion

The semi-local convergence of Homeier method of order three is extended using general conditions on \mathcal{G}' and recurrent majorizing sequences.

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